

Review Article

The Importance of Endospore-Forming Bacteria Originating from Soil for Contamination of Industrial Food Processing

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Specific endospore formers have become important contaminants in industrial food processing. The direct or indirect soil route of contamination or dispersal is the start of events or processes in the agrofood chain that eventually leads to important problems or concerns for food safety and/or quality. Three important food sectors are discussed in this paper. In the dairy sector, *Bacillus cereus*, the most important pathogen or spoilage organism in this sector, and *Clostridium tyrobutyricum*, the most important spoiler in certain cheeses, both contaminate pasteurized milk through the faecal and/or (at least for *B. cereus*) the direct soil route. In the fruit juice industry, *Alicyclobacillus acidoterrestris*, present on raw fruits, has become a major quality-target organism. In the ready-to-eat food sector, *B. cereus* and other aerobic endospore formers are introduced via vegetables, fruits, or herbs and spices, while anaerobic spore formers like nonproteolytic *Clostridium botulinum* and *Clostridium estertheticum* pose safety and spoilage risks in chilled packaged foods, respectively.

1. Introduction

There is a clear association between soil-borne endospore forming bacteria and food contamination. The spore formers implicated belong both to the strictly anaerobic (“the clostridia”) and to the aerobic (the genus *Bacillus* and related genera) phylogenetic groups of microorganisms. Several reasons can be proposed to explain this phenomenon, and most are related to some general characteristics of the spores, which are formed at the end of the growth phase within the vegetative mother cell acting as sporangium (hence, endospores) and released in the environment as survival structures (Figure 1). These are (1) their ubiquitous presence in soil, (2) their resistance to heat in common industrial processes such as pasteurization, (3) the adhesive characters of particular spores that facilitate their attachment to processing equipment, and (4) their ability to germinate and grow in favorable conditions [1]. Several spore formers either need or tolerate specific conditions for germination and growth, which all can occur in food even in combination, such as low or high temperatures and anaerobic or acidophilic conditions. The concerted

characteristics of spores and vegetative cells of particular soil-borne species make them potential sole surviving and growing contaminants in specific industrially processed foods. Some of them seem even to be of more recent concern, which might be the result of increasing tolerance, adaptation, or resistance of spores or vegetative cells of particular spore-forming species to conditions or treatments that were previously presumed either to stop growth (low temperatures and low pH) or to inactivate all living material (ultrahigh heat treatment (UHT) and commercial sterilization). The food industry seems to be increasingly confronted with tolerant or resistant spore formers that might be side effects of the use of new ingredients, the application of new processing and packaging technologies, and the highly increased production and marketing of convenience foods. In the last two decades, there has been a significant increase in the production and sale of ready-to-eat or ready-to-cook foods stored under refrigerated conditions [2]. These products are known as cooked-chilled foods or as refrigerated processed foods of extended durability (REFPEDs), such as vegetable purées and ready-to-eat meals. They are typically processed with a gentle heat treatment

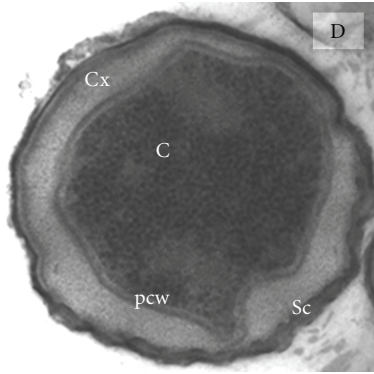


FIGURE 1: Electron micrograph of a *B. cereus* spore, 146.000x. c, spore core; cx, spore cortex; sc, spore coat; pcw, primordial cell wall. Adapted from Scheldeman et al. [3].

(65–95°C, enabling survival of spores) and are chill-stored with (frequently) modified-atmosphere packaging for an extended durability (from a few days up to 3 months), whilst preserving the product attributes such as organoleptic quality and safety. REPFED food items, as well as dairy products (milk and desserts) with a shorter shelf life (<10 days), are specific niches for psychrotolerant spore-forming bacteria. Spore formers cause two kinds of problems in the food industry. In the first place, there are some food-borne pathogens such as *Bacillus cereus* and *Clostridium botulinum*. Secondly, there is the reduction of shelf life and food spoilage. Microbial spoilage of food is usually indicated by changes in texture or the development of off-flavours.

In this paper, recent data revealing the role of soil as primary contamination source for spore formers in food (*B. cereus*, *Alicyclobacillus acidoterrestris*, *Clostridium tyrobutyricum*) or extending our knowledge on specific soil-borne spore formers in food (several *Clostridium* spp. such as *C. estertheticum*) are discussed.

2. *Bacillus cereus*

2.1. Presence in Soil. *B. cereus sensu stricto* (hereafter called *B. cereus* for simplicity) is a member of the *B. cereus* group or also called *B. cereus sensu lato* (Table 1), which can be divided into seven major phylogenetic groups each corresponding to a specific “thermotype”, showing clear differences in ability to grow at low or high temperatures [4]. *B. cereus* is a ubiquitous organism present in many types of soil, sediments, dust, and plants. Soil is heavily contaminated with *B. cereus* spores which can occur in a range from 4 up to 6 log spores per gram farm soil (Table 2). It has long been believed that this organism has a saprophytic life cycle in soil with the presence of spores that only germinate and grow upon contact with soil-associated organic matter (i.e., nutrient-rich conditions). However, in laboratory experiments with liquid soil extract and artificial soil microcosms, it was observed that *B. cereus* (as well as other soil-isolated *Bacillus* species) displayed a complete life cycle (germination, growth, and sporulation) and adapted to translocate in soil by switching from a single-cell phenotype to a multicellular one with the formation of filaments and clumps that encased the

TABLE 1: Phylogenetic structure of the *B. cereus* group and characteristics based on data from Guinebretière et al. [4].

Phylogenetic group	Association to a currently valid species	Growth temperature range in °C (% of strains growing at lowest temperature ^a)	Association to cases of food poisoning (% of strains ^b)
I	<i>B. pseudomycooides</i>	10–40 (75%)	No (0%)
II	<i>B. cereus</i> II ^c and <i>B. thuringiensis</i> II	7–40 (73%)	Yes (21%)
III	<i>B. cereus</i> III (emetic strains), <i>B. thuringiensis</i> III, <i>B. anthracis</i>	15–45 (100%)	Yes (33%)
IV	<i>B. cereus</i> IV and <i>B. thuringiensis</i> IV	10–45 (100%)	Yes (22%)
V	<i>B. cereus</i> V and <i>B. thuringiensis</i> V	8–40 (14%)	Yes (12%)
VI	<i>B. weihenstephanensis</i> and <i>B. mycooides</i>	5–37 (40%)	No (0%)
VII	“ <i>B. cytotoxicus</i> ” ^d	20–50 (100%)	Yes (50%)

^apercentage of strains in the study of Guinebretière et al. [4] which could grow at lowest temperature of indicated temperature range for each phylogenetic group; ^bpercentage of strains for each phylogenetic group in the study of Guinebretière et al. [4] which could be associated to food poisoning foods; ^cproposal of Guinebretière et al. [4] to add phylogenetic number (I to VII) to the species name; ^dnot yet validated species.

ensuing spores in an extracellular matrix [5]. In addition to a full life cycle in soil, *B. cereus* is also adapted to a lifestyle in the animal (e.g., soil animals such as earthworms [6]) or human gut, where it can behave as a pathogen or as a part of the intestinal microbiota, as well as to growth in food and feed. *Bacillus thuringiensis*, being an insect pathogen of the *B. cereus* group, is likewise a ubiquitous soil microorganism, but it is also found on the phylloplane and in insects. Presumably, *B. thuringiensis* proliferates in the guts of insects and is then released into soil where it can subsequently proliferate under favorable nutrient conditions [7]. Less is known on the ecology of the other members of the *B. cereus* group (the psychrotolerant species: *Bacillus mycooides* and *Bacillus weihenstephanensis* and the mesophilic species: *Bacillus pseudomycooides*), except that they have been isolated from a wide variety of environmental niches such as soils, sludge, arthropods, earthworms, and rhizospheres [7]. It has been speculated that climate change may modify the spreading of *B. cereus* hosts (wildlife, insects, earthworms) [8]. Alternatively, *B. cereus* with its wide adaptive strategy to changing environments through signal transduction mechanisms likely will adapt to environmental (climate) changes for example, climate change could modify the distribution of the phylogenetic groups of *B. cereus* in soil. This adaptation might contribute at the end of the chain to a progressive change in prevalence or concentration in foods.

TABLE 2: Recent data on presence of *B. cereus*, *C. tyrobutyricum*, or butyric acid spores, *C. botulinum*, *C. perfringens*, *C. estertheticum*, or *C. gasigenes* in soil, agricultural samples, or raw milk.

Main sample category	Specific microorganism(s) (if indicated)	Sample type (if further indicated)	Number of samples	Total aerobic or anaerobic spore count (log cfu/g or mL)		Specific spore prevalence and count (log cfu/g or mL, unless otherwise indicated)		Reference	
				Average ^a or median ^c	Range ^b	Prevalence (%)	Average ^a or median ^c		Range ^b
Soil	<i>B. cereus</i>	Farm soil	38 ^g –14 ^h			4.9 ^{ai} –5.0 ^{bh}	4.1 ⁱ –6.0 ⁱ	[9]	
	Butyric acid spores	Farm soil	59 ^j			4.2 ^{aj}	3.2–4.9 ^j	[10]	
	<i>C. botulinum</i>	Apiary soil	235			2.0/kg ^a	1.7–3.0/kg	[11]	
	Botulinum-toxin-producing clostridia	Virgin soil ^k	661				16.3	[12]	
		Nonvirgin soil ^l	1348				27.0		
	<i>C. perfringens</i>	Soil various sources	502				75.1	[13]	
	<i>C. estertheticum</i>	Soil around abattoir				2.1 ^m –14.6 ⁿ		[14]	
	<i>C. gasigenes</i>	Soil around abattoir				10.4 ^{ma}			
	Anaerobic spores	Farm soil			4.0 ^c	2.0–5.2		[15]	
	Feed	<i>B. cereus</i>	Silage	66 ^g –19 ^h			2.2 ^{ag} –2.8 ^{ak}	<1.0 ^g –3.7 ^h	[9]
		Butyric acid spores	Mixed silage	122 ^j			4.2 ^{aj}	<1.5 ^j –6.0 ^j	[10]
		Anaerobic spores	Grass silage			<2.0 ^c			[15]
		Anaerobic spores	Maize silage			4.45 ^c	<2.0–4.69		[15]
	Cows' faeces	Aerobic spores						4.7 ^{bc} –6.2 ^{bf}	[16]
<i>B. cereus</i>			66 ^g –14 ^h			2.0 ^{ag} –2.5 ^{ah}	1.0 ^g –3.8 ^h	[9]	
Butyric acid spores			141 ^j			4.7 ^{aj}	<1.5 ^j –7.0 ^j	[10]	
Bedding	<i>B. cereus</i>	Used bedding	66 ^g –38 ⁱ			2.7 ^{ag} –3.1 ^{aj}	1.0 ^g –4.0 ⁱ	[9]	
	<i>B. cereus</i>	Used deep-straw bedding	4			6.4 ^a		[17]	
	<i>B. cereus</i>	Used sand bedding	2			2.3 ^a		[17]	
	<i>B. cereus</i>	Used sawdust bedding	4			4.3 ^a		[17]	
	<i>B. cereus</i>	Used straw bedding	4			3.9 ^a		[17]	
	Butyric acid spores	Bedding material	113 ^j			4.5 ^{aj}	<1.5 ^j –6.0 ^j	[10]	
Raw milk	Aerobic spores	Raw bulk tank milk	18		3.74 ^a			[18]	
	<i>B. cereus</i>		66 ^g –38 ⁱ			1.0 ^{ag} –1.5 ^{aj} /litre	<1.0 ^g –2.9 ^j /litre	[9]	
	Butyric acid spores	Farm tank milk	142 ^j			2.7 ^{aj} /litre	<1.5 ^j –4.2 ^j /litre	[10]	

^a average concentration as indicated in table; ^b minimum and maximum value; ^c median concentration as indicated in table; ^d extreme value; ^e prior to feedlotting; ^f at day 76 of feedlotting; ^g samples analysed for *B. cereus* count for cows housed in barn all day in housing period (November–April); ^h samples analysed for *B. cereus* count for cows at pasture during grazing period (May to October); ⁱ samples analysed for *B. cereus* count for cows at pasture during daytime during grazing period (May to October); ^j samples collected between January and December on 24 farms; ^k soils not changed by people; ^l soils changed by people (cultivated, urbanized, industrialized); ^m culture based detection; ⁿ DNA based detection.

2.2. Transfer from Soil to Food. Soil, together with air, is probably the primary source of food contamination. Being a soil resident, *B. cereus* is part of the microbiota of plant raw materials, attached as vegetative cells or spores. At harvest, this plant raw material can be used for direct human consumption as fresh produce, as ingredients for food or feed production, or directly as animal feed. Dairy cows consuming such feed will excrete *B. cereus* spores in the faeces, and these spores will contaminate the raw milk causing potential safety or shelf-life problems (see Section 2.3). *B. cereus* is the causative agent of two distinct types of food poisoning, the emetic and diarrhoeal syndrome (reviewed by Arnesen et al. [19]). On the other hand, as *B. cereus* is not dominant in the microbiota of plant raw materials, fresh produce for direct human consumption (fresh vegetables and fruits, fresh herbs and spices, fresh potatoes) has not been reported in association with outbreaks or cases of food-borne illness caused by this organism [20]. Nevertheless, recent investigations in Denmark have shown that *B. thuringiensis* strains, in some cases indistinguishable from the commercial strains used in microbial bioinsecticides, were present on fresh vegetables (e.g., fresh cucumbers and tomatoes) in Danish retail shops to levels that may exceed 10^4 cfu g⁻¹ [21]. Since these strains harboured genes encoding enterotoxins, increased concern regarding the residual amount of *B. thuringiensis* insecticide on vegetable products after harvest may be imminent. Despite these observations, problems of *B. cereus* food poisoning and/or spoilage are mainly restricted to pasteurized or dried products manufactured in food processing units. A combination of the specific attributes of the spores and of the resulting vegetative cells give *B. cereus* a huge advantage not only over nonspore formers, but also over other spore formers, and they explain why this organism is a special threat to the food processing industry. Firstly, like all bacterial spores, *B. cereus* spores are resistant to heat and desiccation and they will thus survive food-processing steps, such as pasteurization or thermization and dehydration or drying, that all eliminate or reduce vegetative cells. de Vries [22] reported decimal reduction times at a heating temperature of 95°C (D₉₅-values) varying from around 5 to as high as 80 minutes for spores of naturally occurring *B. cereus* strains. As a result, a final product may be contaminated with spores that face little or no competition from vegetative species that would otherwise outgrow *B. cereus*.

A second aspect is that *B. cereus* spores, which are introduced into the food production chain via plant ingredients or via milk or milk powder, can efficiently adhere to equipment surfaces and pipelines because of the hydrophobic character of the exosporium and the presence of appendages on the spore surface (Figure 1). According to Wijman et al. [23], a *B. cereus* biofilm may particularly develop in a partly filled industrial storage or a piping system, and such a biofilm acts as a nidus for formation of spores that can subsequently be dispersed by release into the food production system. Spores embedded in biofilms are protected against disinfectants [24]. A third aspect is the use of extended refrigeration in food production and distribution, as well as in the kitchen, to increase the shelf life of processed foods. An important feature of *B. cereus*

strains, especially diarrhoeal and food-environment strains (but, however, virtually none of the emetic strains), is psychrotolerance; that is to say, growing at temperatures $\leq 7^\circ\text{C}$ [25]. Moreover, it is important to note that the majority of *B. cereus* strains are able to start growing from 10°C, which represents mild temperature abuse conditions.

Due to its ubiquitous presence in soil and on plant material which is used for a variety of purposes and in food processing environments, as well as its special characteristics described above, the presence of *B. cereus* (and other species of the *B. cereus* group) seems to be inevitable in many types of foods and ingredients. These include raw foods such as fresh vegetables and fruits, including sprouted seeds, raw herbs, and raw milk, ingredients such as pasteurized liquid egg and milk powder, flour, dried spices and herbs, and all kinds of processed foods such as rice, pasta, cereals, dried potato products, meat products, sauces, dehydrated soups, salads, cut and prepacked vegetables and prepacked sprouts, dehydrated mushrooms, chilled ready-to-eat and ready-to-cook meals, Chinese meals, vegetable and potato purées, pasteurized milk and dairy products, bakery products, desserts and custards, powdered infant formulae, and chocolate [19, 26]. The level of *B. cereus* in raw foods and in processed foods before storage is usually very low (<100 spores/g or mL) and poses no direct health or spoilage concern. However, upon storage of processed foods or the use of contaminated ingredients in complex foods, conditions may allow germination and outgrowth of spores to levels that present hazards for consumers. Some countries have specific microbiological criteria or guidelines for this food-borne pathogen; these are generally in the range of $>10^4$ – 10^5 cfu/g. Outbreaks of *B. cereus* food-borne illness have been associated with a diversity of foods such as take-away meals and lunch boxes, oriental meals, cold dishes, minced meats, pita, merguez (North African sausage), chicken, sprouts, meals with rice, boiled and fried rice, pasta salad, pastry, spaghetti, noodles, spices, sauces, soups, stew, quiche, puddings and cream pastries, pasteurized milk and milk products, mashed potatoes and potato salad with mayonnaise, vegetable purée, salad, fish, orange juice, and onion powder ([19, 26]; K. Dierick, pers. comm.).

2.3. From Soil to the Milk Chain. In the last decades, the importance of the psychrotolerant aerobic endospore formers for the keeping quality of milk has increased significantly, owing to extended refrigerated storage of raw milk before processing on the farm, higher pasteurization temperatures, reduction of postpasteurization contamination, and prolonged shelf-life requirements of the consumer product. It should be remembered that pasteurization activates spore germination and thus enhances vegetative cell growth. Growth of *B. cereus* in pasteurised milk is considered the main limiting factor determining the shelf life of this food product. Too high levels of *B. cereus* in pasteurized milk before the end of shelf life or prolonged refrigerated storage cause common structural defects known as sweet curdling and bitty cream (reviewed by Heyndrickx et al. [27]). *B. cereus* diarrhoeal cases or outbreaks associated with milk or dairy products are scarce, although *B. cereus* is

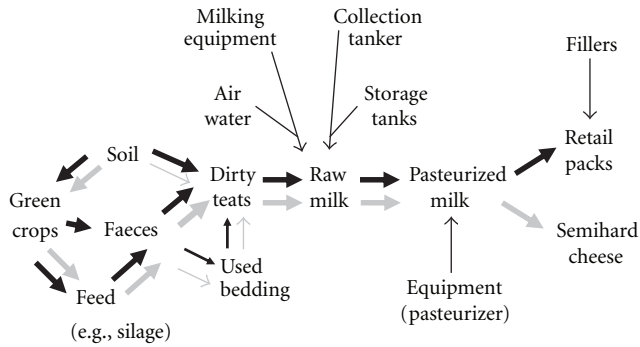


FIGURE 2: Contamination routes of spores of *B. cereus* (black arrows) and *C. tyrobutyricum* (grey arrows) in the dairy production chain. The importance of contamination sources and routes is indicated by the size of arrow.

commonly isolated from pasteurized milk. This may be due to the fact that in the cold dairy chain, selection occurs for psychrotolerant members of the *B. cereus* group, which are mostly strains of *B. weihenstephanensis*. It has been shown that these strains are less toxigenic than mesophilic *B. cereus* strains and are thus less likely to cause illness [28]. Emetic food poisoning related to the consumption of dairy products has been reported (see references in [28]). Although the overall prevalence of emetic strains in the dairy production chain is very low (<1%), colonization and proliferation of emetic *B. cereus* was observed at particular farms with saw dust bedding as a likely contamination source for the raw milk, and in a silo tank at a dairy plant [28]. As the general level of spores of emetic-toxin-producing strains in milk is low (<1000 cfu/litre), there is no risk associated with consumer milk provided that the milk is properly refrigerated. If contaminated milk is used for milk powder, a risk to the consumer exists if this milk powder is used in baby food or as raw ingredient in foodstuffs, where proliferation of *B. cereus* may occur, and there have been reports of emetic food poisoning episodes where contaminated milk powder was a likely source (see references in [28]).

From the above, it is not surprising that *B. cereus* is a major concern of the dairy industry, because it seems impossible to avoid its presence in milk completely. In the Netherlands, a maximum *B. cereus* spore limit in farm tank milk of 3 log spores/litre has been deemed necessary to achieve a shelf life for pasteurized milk of at least 7 days [9]. During recent decades, several possible contamination routes for *B. cereus* in pasteurized milk have been described, with either raw milk or postpasteurization contamination of the pasteurized milk being the source (Figure 2). As for the raw milk route of contamination, only in recent years evidence has been obtained using sensitive detection methods, as *B. cereus* levels in raw milk are usually very low (e.g., average of 1.2 log spores/litre ([9]; see Table 2), and by molecular typing. Raw milk in the farm tank is contaminated with *B. cereus* spores via the exterior of the cow's teats and through improperly cleaned milking equipment. Contamination of the exterior of the teats occurs when they are contaminated with dirt; during the grazing season of the cows, this dirt is mainly soil, while during the housing season the attached

dirt is mainly faeces and bedding material. During milking, this dirt is rinsed off and spores present in the dirt can contaminate the raw milk. As a result, soil, feed (through excretion of spores in faeces), and bedding material are the major sources of contamination of raw milk with *B. cereus*, but the relative contribution of these sources will be different according to the housing conditions of the cows (i.e., outdoor grazing versus indoor housing in stables) (Figure 2). Additional evidence that soil is the initial contamination source in the grazing season (called "a pasturing effect") was provided in a comparative study of raw milks from organic dairy farms (with obligatory grazing during the summer and autumn) and conventional farms (with less grazing during summer and autumn); higher incidences of *B. cereus* were found in milks from organic farms [29]. Soil on farms is very frequently contaminated with *B. cereus* (65–100%) and can contain up to 10^6 *B. cereus* spores/g, but high variations (up to 3 log) can occur between sampling sites or times (Table 2).

Another important source of contamination of raw milk with *B. cereus* spores, identified by different studies, is cows' faeces or dung. Highly variable levels of *B. cereus* spores (from below the detection limit up to 10^5 cfu/g) can be present in different samples of faeces, but the average concentration seems to be around 2 log cfu/g (Table 2). It is assumed that *Bacillus* spp. are not commensals of the cow's gastrointestinal tract but are introduced via the feed and subsequently shed in the faeces [16]. Thus *B. cereus* spores present in faeces probably originate from feed and from soil, either directly (during grazing on the pasture when soil is also taken up by the cows) or indirectly (through the green crops used as feed or feed ingredients). Using molecular typing, silage has been shown to be a significant source of contamination of raw milk with spores, including those of *B. cereus* that may occur in levels up to 10^4 cfu/g (Table 2). The spores in silage principally arise from soil and farmyard manure. In maize silage, lower concentrations of total spores of aerobes than in grass silage (10 – 10^3 cfu/g versus 10^2 – 10^5 cfu/g) were found, which can be explained by the fact that grass silage is more contaminated with soil or faeces than maize silage [30]. Grass samples taken close to soil or faeces contained levels of 10^1 – 10^3 *B. cereus* spores/g, while this was <10 spores/g for grass without soil. Nowadays, ensiled grass and maize form the major part of the feed ration of cattle in Europe and North America during the whole milking year. It is important to prevent outgrowth of spores in silage by application of special cultures of lactic acid bacteria or chemical additives to improve aerobic stability of the silage [9]; however, these measures are not always used on the farm. In the housing period of the cows, feed is the only source of spores, and teats become contaminated mainly through the bedding material that is contaminated with faeces (Table 2). It was found that a spore content of the bedding material exceeding 10^4 spores/g increased the risk for elevated spore concentrations in the bulk tank milk [17].

However, using a predictive modeling approach, it was found that soil was far more important than feed (and hence bedding material) as source of *B. cereus* spores in farm tank milk: when soil was the source of *B. cereus* spores, 33% of simulated spore concentrations in farm tank milk in the

Netherlands were above the maximum spore limit of 3 log cfu/litre, while this was only 2% when feeds (through faeces and bedding) were the sources of spores [31]. According to the model, during the housing period, the concentration of spores of *B. cereus* in raw milk is almost always less than the maximum spore limit. During the grazing period, a 99% reduction of the average concentration of *B. cereus* spores in raw milk might be achieved by minimization of contamination of teats with soil and optimization of teat cleaning efficiency at all farms in the Netherlands. Another control option would be to house cows on damp and rainy days during summer, when the concentration of *B. cereus* spores in soil is higher [32]. During such a housing period, care would have to be taken that the initial spore concentration in feeds is <3 log cfu/g and that the pH of the ration offered to the cows is below 5. Vissers et al. [9] pointed to the fact that under certain circumstances, especially wet conditions, transmission of substantial amounts of soil (>1 mg/litre milk) can occur, leading to spore levels in milk exceeding the maximum spore limit in the Netherlands. Hence, for the dairy industry, control of the soil contamination pathway is most important.

2.4. Transfer from Soil to Vegetables, Fruit, and Ready-to-Eat Meals. Contamination of vegetables and fruit frequently occurs either directly through soil or indirectly through agricultural practices such as irrigation with polluted water or fertilization with manure or sewage sludge. Also, wild and domestic animals can contaminate crops or irrigation water, and farm workers and other human handlers further on in the food chain have important roles in the microbiological safety of produce given the possibility of cross-contamination. Fresh crops (with the exception of sprouted seeds (reviewed by Bassett and McClure [20]) have not yet been reported as sources of *B. cereus* food-borne outbreaks and incidents. On the other hand, prepared salads, with a variety of other ingredients besides vegetables and/or fruit, and which are sold in ready-to-use or ready-to-eat formats and rely upon refrigeration for preservation, have been increasingly associated with *B. cereus* or *Bacillus* spp. food poisoning in recent years. In England and Wales in the period 1992–2006, 4% of the outbreaks associated with prepared salads were caused by *Bacillus* spp. [33]. Besides prepared salads, cooked-chilled foods, also known as REPFEDs, are increasingly popular. To this category of foods belong the vegetable purées, which are prepared by steam cooking of washed vegetables (10–50 min. at 80–100°C), mixed with other ingredients (UHT-cream, milk proteins, starch, salt) and pasteurization in their packaging (10 min. at 80–90°C). Although these products are relatively stable up to 21 days when stored at 4°C, the processing conditions will not completely eliminate the spore formers that can develop during cold storage. Vegetable purées were already the source of a severe fatal *B. cereus* outbreak in a French nursing home for elderly persons, and this episode led to the discovery of a new *B. cereus* diarrhoeal toxin, cytotoxin K [34]. In cooked, pasteurized, and chilled vegetable purées (broccoli, carrot, courgette, leek, potato, split pea), aerobic endospore formers are the dominant microbiota (albeit at low numbers of ≤ 2

log cfu/g before storage) and an increase in their numbers (up to 7–8 log cfu/g) depends on the storage temperature and the type of vegetable [35]. Courgette purée (also called zucchini purée) consistently supported the most rapid microbial growth at low temperature and was the product most prone to spoilage (pack swelling and/or off-odours). By means of molecular typing, it could be established that the outgrowth at 10 or 7°C is of psychrotolerant strains that originated in the zucchini, while the strains from the dehydrated texturing agents (milk proteins and starch) were mesophilic strains that were genetically different from the zucchini strains and which probably contributed less to the growth at 10°C [36]. Furthermore, it was found that the initial source of contamination for the zucchini, with psychrotolerant *B. cereus*, was soil. These data indicate that processing and storage of vegetables lead to selection of *B. cereus* strains and that this is enabled by the fact that soil as the initial source of contamination is a reservoir of a large diversity of strains. The importance of this genetic diversity was illustrated by a quantitative exposure assessment that took into account the heterogeneous population of *B. cereus* in courgette purée [37]. The model predicted that at the time of consumption, *B. cereus* may be present in all purée packages, but that not all *B. cereus* genetic groups (I to VII as described in Table 1) were present in all packages. The highest food poisoning risk in REPFED foods was predicted to be associated with members of the psychrotolerant *B. cereus* group II because of their predicted prevalence (16.8% of the *B. cereus* population per package) and concentrations at the time of consumption (2.5% of the packages contaminated with >6.7 log cfu/g). Although some strains implicated in *B. cereus* food poisoning belong to psychrotolerant genetic group II, it must be noted that most strains related to cases or outbreaks belong to mesophilic genetic groups III and IV. This may explain why most reported cases have been associated with incidents of storage temperature abuse.

3. *Alicyclobacillus acidoterrestris*

3.1. Spoilage of Fruit Juices by *Alicyclobacilli*. In the 1990s, observations of episodic spoilage of fruit juice and similar products by *A. acidoterrestris* have started in Europe, the USA, and Japan [38]. Until then, it was believed that the high acidity of fruit juices (pH < 4), combined with pasteurization in the fruit beverage industry (hot-fill and hold for 2 min. at 88–96°C), was sufficient to render these products commercially sterile without the use of preservatives. More recently, new species were isolated: *A. acidiphilus*, which is closely related to *A. acidoterrestris*, and *A. pomorum* from spoiled juice products, and *A. herbarius* from spoiled herbal tea [39–41].

A. acidoterrestris survives the hot-fill and hold processes because of the heat resistance of the spores (D-values of 65.6 min at 85°C and 11.9 min at 91°C in orange juice [42]), which germinate following heat shock, and the organism starts to grow in the product up to a level of 10^5 – 10^6 cfu/ml because of its unique physiological characteristics (growth from pH 2.5–6.0 with optima around pH 3.5–5.0 and from 20–60°C with optima at 42–53°C). Storage of

pasteurized fruit juices below 20°C would be sufficient to prevent outgrowth of spores, but these juices are usually distributed under ambient conditions.

Spoilage (long before the expiration date) is sometimes associated with a slight increase in turbidity and white sediment at the bottom of packages, but the most important fault is taint of strong medicinal or antiseptic flavour caused mainly by the production of guaiacol, but also by 2, 6-dibromophenol and 2, 6-dichlorophenol [43]. Affected products are pasteurized fruit juices (mainly apple and orange) and fruit juice blends, but there have also been reports of spoiled carbonated fruit drinks, berry juice containing iced tea, and diced canned tomatoes [44]. Although spoilage is incidental, requiring a combination of adequate conditions such as available oxygen (determined by the head space in the package or container), nutrient availability, taint precursors, and high temperature for a long time, all fruit juice manufacturers have started quality assurance programs to monitor and control levels of *Alicyclobacillus* species in raw materials and fruit concentrates. Between 14.7% and 83% of fruit juices, fruit concentrates, or fruit juice products have been found positive for *Alicyclobacillus* [44]. Recently, *A. acidoterrestris* has also been isolated from (nonspoiled) exotic fruit juices such as passion fruit juice (incidence rate of 28%, $n = 57$), but not from pineapple juice [45]. As passion fruit grows close to the soil and has a rough surface, this contamination emphasizes the need for improving the efficiency of fruit washing and disinfection and the application of good agricultural practices, avoiding the harvesting of fruit that has fallen on the ground.

3.2. Presence of *Alicyclobacilli* in Soil. As *A. acidoterrestris* is mainly soil borne, unwashed or insufficiently washed raw fruit, which is contaminated on the surface with soil, and poor hygiene measures in fruit juice concentrate production are the most likely contamination sources. More than one-third of all fruits sampled at two juice-processing facilities were contaminated with presumptive *Alicyclobacillus* species [46]. *A. acidoterrestris* has also been isolated from process water plant [47], and *Alicyclobacillus* strains have been found in liquid sugar [48].

Recently, several *Alicyclobacillus* species have been isolated from orchard soils: *A. acidoterrestris* and *A. acidocaldarius* from orchard soil (pH 5.9–6.7) collected from an apple and pear farm in South Africa [49], *A. acidoterrestris* and *A. contaminans* as major species (besides several other minor *Alicyclobacillus* species) from several fruit (banana, blueberry, chestnut, grape, kiwi, orange, pear, persimmon, strawberry) orchard soils in Japan [50]. Several of the isolated species produced guaiacol, but the production levels were strain dependent, even within *A. acidoterrestris*. By RAPD typing, it was shown that identical strains were present in pear concentrate and soil outside the factory, indicating soil as the source of the *Alicyclobacillus* spores [51].

4. Clostridia

4.1. Importance of Soil Dwelling Clostridia. Like the aerobic spore formers, also the clostridia are abundantly present in

soil (Table 2) and can survive for extended periods of time in soil by maintaining very low levels of metabolic activity [52]. Clostridial plate counts on four dairy farms in Canada ranged from detection limit to 5.2 log cfu per gram soil [15]. Several species have been isolated from soil: for example, 16 species in Korean soil from 5 different areas [53], 54 species in 117 soil samples (on average 7.1 species per sample) from different geographic zones in Costa Rica [54]. This indicates that soil can be a potential reservoir of harmful clostridial species. Most soil samples (approximately 70%, $n = 502$) from city, suburban, or farm origin in the Pittsburgh area (USA) contained the toxigenic species *Clostridium perfringens* in levels ranging from 0 to 10^3 cfu per gram soil [13]. Although *C. perfringens* type A, which is usually involved in food poisoning, was predominant among the soil isolates in that study, soil appeared not to be a major reservoir for isolates causing food poisoning but a potential reservoir for plasmid-borne *cpe* enterotoxin positive isolates causing non-food-borne gastrointestinal diseases. *C. perfringens* together with *Clostridium sordelli* was also the most prevalent toxigenic species in Costa Rican soil [54] and was detected in all sampling locations in Korea [55]. For botulinum toxin-producing clostridia, prevalence ranges from 5.7% to 24.3% depending on the country of investigation [12]. In that study, a higher prevalence was observed in nonvirgin soil (i.e., cultivated, urbanized, and industrialized soil: 27%) than in virgin soil (i.e., soil that had not been changed by people: 16.3%) in five geographical regions of Argentina. Also in soil collected in honey-producing apiaries in Finland, a high prevalence of *C. botulinum* (31%, $n = 235$) was found as was also the case for beeswax (23%, $n = 176$) [11]. This indicates that soil-derived spores of *C. botulinum*, carried into the hive by bees, may accumulate in beeswax, but they may also directly contaminate the honey during the extraction process because of poor hygiene. Honey is one of the most important sources of and the only proven food item associated with *C. botulinum* spores responsible for infant botulism.

Besides neurotoxic species, several *Clostridium* species occurring in soil are important as spoilers of processed foods such as the REPFED foods with modified atmosphere packaging (vacuum or anaerobic atmosphere) and semihard cheeses.

4.2. Toxigenic and Spoilage Clostridia in Chilled Foods. *C. botulinum* is divided into four groups, of which the proteolytic group I (producing type A, B, and F neurotoxins) and the nonproteolytic group II (producing type B, E, and F neurotoxins) are causing human botulism. Group II isolates are psychrotolerant, growing at temperatures as low as 3°C, and produce spores which are less heat resistant than those of group I. This explains the fact that in modern food processing with mild processing (e.g., heating) conditions and extended shelf lives based on chilled storage combined with modified atmosphere or vacuum packaging, especially *C. botulinum* group II poses a safety hazard. Under these conditions, the growth of aerobic bacteria is restricted and thus shelf life extended, but it can also provide an environment conducive to the growth of anaerobic bacteria such as clostridia. It is estimated that more than 1.5×10^{10} chilled

prepared ready meals have been consumed in Europe in the last 20 years and that this consumption increases by at least 10% per annum [56]. The use of HACCP for the production of chilled foods is specified in European hygiene laws, and a number of guidelines, advice, and recommendations exist emphasizing the importance of HACCP and chill storage. The number of botulism cases caused by ready-to-eat food consumption has increased and has been reported worldwide during the last 25 years [57]. Although most botulism cases and *C. botulinum* spore contaminations are associated with fish or seafood products, a high prevalence of *C. botulinum* type E spores has been found in meat and in potato peels, the latter reflecting the high spore level in soil. A literature examination has indicated that, given the fact that nonproteolytic *C. botulinum* could form toxin in ≤ 10 days at $\leq 8^\circ\text{C}$ according to predictive models representing worst-case scenarios, commercial short shelf-life (< 10 days) chilled foods have not been reported yet as being associated with foodborne botulism when stored correctly, but both proteolytic and nonproteolytic *C. botulinum* have caused outbreaks from these foods when temperature abuse had occurred [56]. Nevertheless, precise safety margins with respect to foodborne botulism seem not to be well defined for these food products, and changes in industrial practice (e.g., extending shelf life), processes, or ingredients could alter the safety risk.

Several psychrophilic and psychrotolerant species including *C. algidicarnis*, *C. algidixylanolyticum*, *C. estertheticum*, *C. frigidicarnis*, and *C. gasigenes* have been implicated in red meat spoilage (softening of the meat, large amounts of drip, offensive odour) [58]. Of these species, *C. estertheticum* (subspecies *estertheticum* and *laramiense*) and *C. gasigenes* additionally produce gas and have been recognized as causative agents of “blown pack” spoilage of vacuum-packed chilled meats at normal storage temperatures (-1.5 to 2°C). These two spoilage clostridial species have been found frequently in beef slaughterhouses and their environments, especially at areas prior to hide removal [14]. It is therefore assumed that hides and feces are the main reservoirs for these organisms in the slaughterhouse. Previously, it had already been suggested that soil particles attached to hide or present in feces are the most probable primary reservoir from which “blown pack” clostridia are introduced onto carcasses [59]. There is no evidence that these clostridia are present in the gut of farm animals. Both *C. estertheticum* and *C. gasigenes* have been detected in soil outside an abattoir, strengthening the soil route hypothesis [60]. Hygienic carcass dressing will become increasingly important to keep contamination with “blown pack” spoilage clostridia to a minimum and to maximize shelf life of vacuum-packed chilled meats as it has been found in challenge tests that 1 *C. estertheticum* spore in a vacuum pack may already present a risk of spoilage before the estimated end of shelf life [61]. As a first step, it may be envisaged to entail further control on and/or pretreatment of dirty animals prior to their entry into the slaughterhouse combined with sporicidal sanitation of high risk sources of cross-contamination.

4.3. *Clostridium tyrobutyricum* in Cheese Making. Spores of butyric acid bacteria can cause spoilage of semihard cheeses

with long ripening times such as Gouda and Emmentaler. Mostly *Clostridium tyrobutyricum* is implicated in the so-called late blowing defect of this kind of cheeses. This organism is able to convert lactic acid into butyric acid with the concomitant production of CO_2 and H_2 gas at relatively low pH. As an anaerobic spore former, it survives pasteurization and will grow in semihard cheese resulting in off-flavors and excessive gas formation. Low contamination levels of spores of butyric acid bacteria in pasteurized milk (10 spores per liter) can already cause problems. In the Netherlands, a penalty system (based on monthly analysis of spore presence in 2×0.1 ml of delivered raw milk) is implemented for the farmers to control the contamination of farm tank milk with these spores. The Dutch dairy industry wants to ensure a contamination level of butyric acid spores below a maximum spore limit (MSL) of 1000 spores per liter raw milk [62]. Butyric acid spores originate from the farm environment, such as soil, silage, and other feed and are transferred to the raw milk mainly via the fecal contamination of cow's teats as already described for *B. cereus* (see Section 2.3; Figure 2). In a modeling study as well as a year-long survey on 24 Dutch dairy farms, it was found that the contamination level of silage is the most important factor for the contamination level of farm tank milk: feeding silage containing not more than 3 log butyric acid spores per gram, combined with a basic pretreatment of the teats before milking, is sufficient to assure the MSL [62, 63]. Hygiene measures aiming at improving the barn hygiene and decreasing the transmission of spores, originating directly from soil during milking, and reducing the contamination level of other feed seemed to contribute only marginally to an improvement of the control of the contamination of farm tank milk with butyric acid spores. However, undoubtedly the primary source of these spores in silage is soil which contains high levels of butyric acid spores (mean of 4.2 log spores per gram; Table 2). One of the risk factors associated with high levels of spores in silage is the initial contamination of silage with soil via enclosure of soil during harvesting. Furthermore, high concentrations of butyric acid spores in (corn) silage seem to be the result of oxygen penetration into the silage (during the storage period and/or after opening of the silo), resulting in aerobic instability (e.g. visible moulds) and formation of anaerobic niches with an increased pH where locally high concentrations of these spores (> 5 log per gram) can develop [10].

5. Conclusions

In many types of food and feed, soil can be considered as the initial contamination source for spore formers. Usually, when direct transfer from soil is involved, levels of these spore formers in foods, ingredients, or feeds are too low to cause problems. However, because of the complexity of the food chain, particular spore-forming species or types may encounter niches where proliferation occurs. This can happen on the primary production level (e.g., silage, bedding material), in the processing line (e.g., storage tanks), during distribution (e.g., temperature abuse during refrigerated storage), or in the final product (e.g., complex foods, fruit

juice). These proliferation steps enable the endospore former such as *B. cereus*, *A. acidoterrestris*, *C. tyrobutyricum*, non-proteolytic *C. botulinum* or *C. estertheticum*, either to enter as a contaminant into a next step of the production chain or to provoke food quality or safety problems in the final product. For the food industry, it is a challenge to gain insight into the whole contamination flow of endospore formers originating from soil as well as in the conditions permitting their proliferation.

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