

## REFLEXIVE ALGEBRAS and SIGMA ALGEBRAS

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ABSTRACT. The concept of a reflexive algebra ( $\sigma$ -algebra)  $\beta$  of subsets of a set  $X$  is defined in this paper. Various characterizations are given for an algebra ( $\sigma$ -algebra)  $\beta$  to be reflexive. If  $V$  is a real vector lattice of functions on a set  $X$  which is closed for pointwise limits of functions and if  $\beta = \{A \mid A \subseteq X \text{ and } C_A(x) \in V\}$  is the  $\sigma$ -algebra induced by  $V$  then necessary and sufficient conditions are given for  $\beta$  to be reflexive (where  $C_A(x)$  is the indicator function).

KEY WORDS AND PHRASES. *Reflexive algebra,  $\sigma$ -algebra, and Boolean algebra.*

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### 1. INTRODUCTION.

The object of this paper is to study the concept of reflexive algebra and a reflexive  $\sigma$ -algebra  $\beta$  of subsets of a set  $X$ . The concept naturally arises, when we consider the topology generated by an algebra or  $\sigma$ -algebra  $\beta$  on  $X$ . An algebra  $\beta$  of subsets of  $X$  is said to be reflexive if  $\beta(\tau(\beta)) = \beta$ , where  $\tau(\beta)$  is the topology generated on  $X$  by taking  $\beta$  as a base and  $\beta(\tau)$  is the family of closed and open subsets of  $X$  under a zero-dimensional topology  $\tau$ .

In section 2, we discuss some preliminaries concerning representations of algebras and we introduce some definitions. In section 3, various characterizations are given for an algebra to be reflexive. For a  $\sigma$ -algebra it is shown that an equivalent condition for it to be reflexive is that its real measurable functions should coincide with  $\tau(\beta)$ -real continuous functions. Thus for reflexive  $\sigma$ -algebras the study of real measurable functions amounts to the study of real continuous functions with respect to topology  $\tau(\beta)$ . Given any algebra  $\beta$  there is the smallest reflexive algebra generated by it. An example is given to show that not every measure on a  $\sigma$ -algebra  $\beta$  can be extended to the smallest reflexive  $\sigma$ -algebra containing it.

If  $V$  is a real vector lattice of functions on a set  $X$  which is closed for pointwise limits of functions and if  $\beta = \{A \mid A \subseteq X \text{ such that } C_A(x) \in V\}$  is the  $\sigma$ -algebra induced by  $V$ , necessary and sufficient conditions are given for  $\beta$  to be reflexive.

### 2. PRELIMINARIES AND DEFINITIONS.

Let  $(X, \beta)$  be an arbitrary algebra of subsets of  $X$ . Define for  $x, y$  in  $X$ ,  $x \sim y$  if for every  $B \in \beta$ , if  $x \in B$  we have  $y \in B$ . It is easily seen that  $\sim$  is an

equivalence relation and the map  $q: X \rightarrow X/\sim$  gives an algebra

$$q(\beta) = \{q(B) : B \in \beta\}$$

in  $X/\sim$  which is isomorphic to  $\beta$ . Moreover  $q(\beta)$  is point-separating. In view of the above procedure, we will in the sequel assume that all our algebras are point-separating.

Let  $\beta^*$  denote any Boolean algebra which is isomorphic to  $\beta$ . Let  $S(\beta) = S(\beta^*)$  denote the Stone-space of the Boolean algebra  $\beta^*$ . We note that  $S(\beta) = \{\lambda : \lambda \text{ is a maximal filter in } \beta^*\}$ . On  $S(\beta)$  the topology is generated by sets of the form  $[B] = [B^*] = \{\lambda \in S(\beta) : B^* \in \lambda \text{ where } B \in \beta\}$  where  $B \rightarrow B^*$  is the isomorphism between  $\beta$  and  $\beta^*$ . It is known that this topology  $(S(\beta), \sigma)$  is a compact zero-dimensional space and that the Boolean algebra of Clopen (closed and open) subsets of  $S(\beta)$  is isomorphic to  $\beta^*$  and thus isomorphic to  $\beta$ .

If  $\Delta$  is any Boolean algebra and  $(X, \beta)$  is such that  $\Delta$  is isomorphic to  $\beta$  then we say that  $(X, \beta)$  is a representation of  $\Delta$ .

For each representation  $(X, \beta)$  of a Boolean algebra  $\beta^*$  there is a natural embedding

$$\Psi: (X, \tau(\beta)) \rightarrow (S(\beta), \sigma)$$

where  $\tau(\beta)$  is the topology generated by  $\beta$  on  $X$ , defined by

$$\Psi(X) = \{B^* \in \beta^* : x \in B\}$$

Then  $\Psi(X)$  is a dense subspace of  $S(\beta)$ . Conversely, if  $T$  is any dense subspace of  $S(\beta)$  then  $(T, \Delta)$  is a representation of  $\beta^*$  where  $\Delta = \{T \cap [B] : B \in \beta\}$ .

DEFINITION 1. A topological space  $(X, \tau)$  is called a P-space if every  $F_\sigma$  set in  $X$  is closed.

### 3. MAIN RESULTS.

We start this section by first observing that for every  $\beta$  the space  $(X, \tau(\beta))$  is a zero-dimensional Hausdorff space. If further  $\beta$  is a  $\sigma$ -algebra then  $(X, \tau(\beta))$  is a P-space. However it can happen that  $(X, \tau(\beta))$  may be a P-space without  $\beta$  being a  $\sigma$ -algebra as the ensuing simple example shows.

EXAMPLE 1. Let  $\omega$  denote the first infinite cardinal and let

$$\beta = \{A \subset \omega : |A| < \omega \text{ or } |\omega - A| < \omega\}.$$

Then  $(X, \tau(\beta))$  is discrete and thus a P-space, while clearly  $\beta$  is not a  $\sigma$ -algebra. ( $|A|$  is the cardinality of  $A$ ).

Let  $\tau$  denote a zero-dimensional topology on a set  $X$ . By defining  $x \sim y$  ( $x, y \in X$ ) if and only if for each  $U \in \tau$  if  $x \in U$  we have  $y \in U$ , we obtain an equivalence relation. The quotient space  $X/\sim$  is Hausdorff and zero-dimensional. In view of this, without loss of generality we will assume in the sequel that  $(X, \tau)$  is itself a Hausdorff and zero-dimensional, and hence completely regular. We then denote by  $\beta(\tau)$  the family of clopen subsets of  $(X, \tau)$ . We now have

THEOREM 1. The family  $\beta(\tau)$  is always an algebra on  $X$ . Moreover  $\beta(\tau)$  is a  $\sigma$ -algebra if and only if  $(X, \tau)$  is a P-space.

PROOF. The first part is obvious. If  $(X, \tau)$  is a P-space then the union of countably many clopen sets is clopen, which shows that  $\beta(\tau)$  is a  $\sigma$ -algebra. Conversely, if the union of countably many clopen sets is clopen,  $\tau$  is obviously a P-space.

The following facts are easily established:

$$\tau(\beta(\tau)) = \tau. \quad (3.1)$$

$$\beta(\tau(\beta)) \supset \beta. \quad (3.2)$$

In view of Example 1, it is seen that the reverse inclusion in (3.2) does not always hold. This prompts the following definition:

DEFINITION 2. An algebra  $\beta$  of subsets of a set  $X$  is reflexive if

$$\beta(\tau(\beta)) = \beta.$$

EXAMPLE 2. Let  $\omega_1$  denote the first uncountable cardinal and let

$$\beta = \{A \subset \omega_1 : |A| \leq \omega \text{ or } |\omega_1 - A| \leq \omega\}$$

Then  $\beta$  is a non reflexive  $\sigma$ -algebra on  $\omega_1$ . In this case  $\beta(\tau(\beta)) = P(\omega_1)$ , the set of all subsets of  $\omega_1$ . However if

$$\bar{\omega}_1 = \{\text{ordinals } \alpha : \alpha \leq \omega_1\} \text{ and further if}$$

$$\beta = \{A \subset \bar{\omega}_1 \text{ such that either } |\bar{\omega}_1 - A| \leq \omega \text{ or } |A| \leq \omega \text{ and } \omega_1 \notin A\}.$$

then  $\beta$  is a non trivial reflexive  $\sigma$ -algebra on  $\bar{\omega}_1$ .

LEMMA 1.  $\beta(\tau(\beta(\tau(\beta)))) = \beta(\tau(\beta))$  and hence  $\beta(\tau(\beta))$  is always reflexive.

PROOF. Since  $\tau(\beta(\tau(\beta))) = \tau$ , it follows that

$$\beta(\tau(\beta(\tau(\beta)))) = \beta(\tau(\beta)).$$

LEMMA 2. For every algebra  $\beta$ , the algebra  $R_\beta = \beta(\tau(\beta))$  is the smallest reflexive algebra that contains  $\beta$ . If further  $\beta$  is a  $\sigma$ -algebra so is  $R_\beta$ .

PROOF. In view of  $\beta(\tau(\beta)) \supset \beta$ , it follows that  $\beta \subset R_\beta$  and by Lemma 1,  $R_\beta$  is reflexive. If  $\Omega$  is any reflexive algebra such that

$$\beta \subset \Omega \subset R_\beta$$

then  $\Omega = \beta(\tau(\Omega)) \supset \beta(\tau(\beta)) = R_\beta$  and hence  $R_\beta$  is minimal. If  $R_\beta$  is a  $\sigma$ -algebra, by an earlier result it follows that  $\tau(R_\beta)$  is a P-space and hence  $R_\beta = \beta(\tau(R_\beta))$  is a  $\sigma$ -algebra. This completes the proof.

We now note the following two properties:

$$\text{Always } \beta \subset \tau(\beta). \tag{3.3}$$

$$\text{Always } \beta(\tau) \subset \tau. \tag{3.4}$$

THEOREM 2. For a Boolean algebra  $\beta$ , the following conditions are equivalent:

- (i)  $\beta = \tau(\beta)$ .
- (ii)  $\beta$  is reflexive and for each  $x \in X$ ,  $\{x\} \in \beta$ .
- (iii)  $\beta = P(X)$  i.e.  $\beta$  is trivial.

PROOF. (i)  $\Rightarrow$  (iii). By (i) each open set in  $\tau(\beta)$  is closed and hence every point is open and thus  $\tau(\beta)$  is discrete. Hence  $\beta = P(X)$ .

That (iii)  $\Rightarrow$  (ii) is obvious.

We now prove that (ii)  $\Rightarrow$  (i). Since  $\beta$  is reflexive,  $\beta(\tau(\beta)) = \beta$  and since all points belong to  $\beta$ , all one-point sets are open in  $\tau(\beta)$ . Thus  $\tau(\beta)$  is discrete. Hence  $\beta(\tau(\beta)) = \beta = P(X)$  which implies (i).

THEOREM 3. If  $\beta$  is a reflexive  $\sigma$ -algebra and if  $(X, \tau(\beta))$  is such that all one-point subsets of  $X$  are  $G_\delta$  sets in  $\tau(\beta)$  then  $\beta = P(X)$ .

PROOF. Since  $\beta$  is a  $\sigma$ -algebra,  $\tau(\beta)$  is a P-space and thus it must be discrete. But  $\beta = \beta(\tau(\beta))$  and hence  $\beta = P(X)$ .

THEOREM 4. For topology  $\tau$  the following conditions are equivalent:

- (i)  $\tau = \beta(\tau)$ .
- (ii)  $\tau$  is discrete.
- (iii)  $\tau = P(X)$ .

PROOF. Since all open sets are clopen,  $\tau$  is discrete and hence  $\tau = P(X)$ .

DEFINITION 3. A compact Hausdorff space  $Z$  is called Banaschewski compactification of its dense subspace  $X$ , if for every clopen set  $U$  in  $X$ ,

$$\overline{U}^Z \cap \overline{(X-U)}^Z = \phi, \text{ where}$$

$\overline{A}^Z$  means the closure taken in  $Z$ .

THEOREM 5. For an algebra  $\beta$  the following statements are equivalent:

- (i)  $\beta$  is reflexive.
- (ii)  $\beta = \beta(\tau)$  for some topology  $\tau$  on  $X$ .
- (iii)  $S(\beta)$  is the Banaschewski compactification of  $(X, \tau(\beta))$ .
- (iv) If  $C \subset X$  and  $C = \cup B'$  and  $X-C = \cup B''$ , where  $B'$  and  $B''$  are subsets of  $\beta$ , then  $C \in \beta$  (here  $C = \cup B'$  means that  $C$  is union of sets from  $B'$ ).

PROOF. (i)  $\Rightarrow$  (ii). Since  $\beta = \beta(\tau(\beta))$ , it is sufficient to take  $\tau = \tau(\beta)$ .

(ii)  $\Rightarrow$  (iv). If  $C$  is as in (iv) and if  $\tau$  is as in (ii) then  $C$  is clopen in  $\tau$  and thus  $C \in \beta$ .

(iv)  $\Rightarrow$  (iii). Suppose  $U$  is a clopen set in  $(X, \tau(\beta))$  then by (iv)  $U \in \beta$ . Hence  $\overline{U} \cap \overline{(X-U)} = \phi$ , where closure is taken in  $S(\beta)$ .

(iii)  $\Rightarrow$  (i) Suppose that  $U$  is clopen in  $\tau(\beta)$  then  $\overline{U} \cap \overline{(X-U)} = \phi$  in  $S(\beta)$  and thus  $U = [B] \cap X$ , for some  $B \in \beta$  which implies that  $U \in \beta$ .

This completes the proof.

THEOREM 6. For a  $\sigma$ -algebra  $\beta$  the following statements are equivalent:

- (i)  $\beta$  is reflexive.
- (ii)  $\beta = \beta(\tau)$  for some  $P$ -topology  $\tau$ .
- (iii)  $S(\beta)$  is the Stone-Čech compactification of  $(X, \tau(\beta))$ .
- (iv) The  $(X, \beta)$ -real measurable functions coincide with  $(X, \tau(\beta))$ -real continuous functions.

PROOF. (i)  $\Rightarrow$  (ii). It suffices to take  $\tau = \tau(\beta)$ .

(ii)  $\Rightarrow$  (iv). Clearly  $(X, \beta)$ -measurability implies  $(X, \tau(\beta))$ -continuity. Conversely if  $f: X \rightarrow \mathbb{R}$  is continuous, then the inverse images of open sets in  $\mathbb{R}$  are open  $F_\sigma$ -sets in  $(X, \tau(\beta))$  and these are clopen, since  $\tau(\beta)$  is a  $P$ -space. Thus inverse images of open sets belong to  $\beta(\tau(\beta))$ . As  $\beta = \beta(\tau)$  it follows that  $\beta(\tau(\beta)) = \beta$  and thus  $f$  is measurable.

(iv)  $\Rightarrow$  (iii). Let  $f: X \rightarrow \mathbb{R}$  be  $\tau(\beta)$ -continuous. Thus  $f$  is  $(X, \beta)$ -measurable and hence there exists a  $B \in \beta$  such that  $f^{-1}(0) \subset [B]$ .  $f^{-1}(1) \cap [B] = \phi$  and thus

$$\overline{f^{-1}(0)} \cap \overline{f^{-1}(1)} = \phi.$$

This proves that (iv) implies (iii).

(iii)  $\Rightarrow$  (i). The proof of this implication is the same as in Theorem 5.

THEOREM 7. For a Boolean algebra  $\beta^*$  the following statements are equivalent:

- (i)  $\beta^*$  is complete.
- (ii) Every representation  $(X, \beta)$  of  $\beta^*$  is reflexive.

PROOF. (i)  $\Rightarrow$  (ii). If  $\beta^*$  is complete then  $S(\beta^*)$  is extremally disconnected. Let  $X \subset S(\beta^*)$  be a dense subspace of  $S(\beta^*)$  and let

$$\beta = \{ [B] \cap X : B \in \beta^* \}$$

Suppose that  $U \subset X$  is clopen in  $X$ . Then there exist disjoint open sets  $U^*$  and  $V^*$  in  $S(\beta)$  with  $U^* \cap X = U$  and  $V^* \cap X = X - U$ . Then  $\overline{U^*} \cap \overline{V^*} = \emptyset$ , and hence  $\overline{U^*}$  is clopen. This means that  $\overline{U^*} \in \beta^*$  and  $\overline{U^*} \cap X = U \in \beta$ . This proves that (i)  $\Rightarrow$  (ii).

Conversely, suppose  $\beta^*$  is not complete. Then there exist open sets  $U$  and  $V$  in  $S(\beta^*)$  such that  $U = \text{Int}(\overline{U})$  and  $\overline{U} \cap \overline{V} \neq \emptyset$ , but  $U \cap V = \emptyset$ . Let  $X = (U \cap V, \sigma)$ . Then  $X$  is dense in  $S(\beta^*)$  and  $U$  is clopen in  $X$  but  $U \notin \beta$ . Hence  $\beta$  is not reflexive. This completes the proof.

One of the relevant questions is that whether a measure defined on  $\Sigma$  can be extended to the smallest reflexive  $\sigma$ -algebra  $\beta(\tau(\beta))$  containing  $\beta$ . The following easy example shows that this may not be always possible.

EXAMPLE 3. If  $X$  is a set of cardinality  $2^c$ , let

$$\beta = \{ B \subset X : |B| \leq \omega \text{ or } |X-B| \leq \omega \} \text{ and}$$

$$\mu(\beta) = \begin{cases} 0, & \text{if } B \text{ is countable} \\ 1, & \text{otherwise} \end{cases}$$

Then  $\beta$  is a  $\sigma$ -algebra and  $\mu$  is a two valued measure on  $\beta$ . Clearly  $\beta(\tau(\beta)) = P(X)$ . Since  $2^c$  is not measurable  $\mu$  does not have an extension.

In the next theorem the following question is discussed. Let  $V$  be a vector lattice of real functions on a set  $X$  which is closed under pointwise limits of functions in  $V$ . If  $C_A(x)$  is the indicator function of the subset  $A \subseteq X$ , then it is known that the collection

$$\beta = \{ A \subseteq X : C_A \in V \}$$

is a  $\sigma$ -algebra and that  $V$  is precisely the set of real  $\beta$ -measurable functions. The next theorem gives a characterization for  $\beta$  to be reflexive.

THEOREM 8. Let  $V$  be a vector lattice of real functions defined on a set  $X$  and let  $V$  be closed under pointwise limits. Let

$$\beta = \{ A \subseteq X : C_A \in V \}$$

Then  $\beta$  is reflexive if and only if for each  $f: X \rightarrow \mathbb{R}$  such that  $f = \sup_{\alpha} \{g_{\alpha}\} = \inf_{\beta} \{h_{\beta}\}$ , where  $g_{\alpha} \in V$ ,  $h_{\beta} \in V$ , we have  $f \in V$ .

PROOF. In this result we use (iv) of Theorem 5. Suppose  $A = \cup \beta'$  and  $X-A = \cup \beta''$ , where  $\beta', \beta'' \subset \beta$ . Let  $g_B = C_B(x)$  and  $h_B = C_{X-B}(x)$ . Then clearly

$$\sup_{B \in \beta'} \{g_B\} = C_A(x) = \inf_{B \in \beta''} \{h_B\}$$

Hence  $C_A \in V$  and thus  $C \in B$  which shows that  $\beta$  is reflexive.

Conversely, if  $\beta$  is reflexive then  $\tau(\beta)$ -continuous functions are measurable. Thus a function  $f$  which is both upper semi-continuous and lower semi-continuous is continuous and hence it is measurable. Thus  $f \in V$ .

The proof is complete.

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